The effect of dietary carbohydrate manipulation on
 physical activity and other energy balance
 components: Study protocol for a 12-week
 randomised controlled trial

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30 Abstract

Carbohydrates are a staple component of modern diets. When classified by structure, 31 32 the smallest chain carbohydrates are referred to as sugars. Free sugars comprise approximately 10-20% of energy intake in European populations. Global health 33 guidelines advocate reducing free sugars intake below 5% of energy intake. It is 34 unclear what impact these guidelines will have on physical activity and energy 35 balance. Little is known about the effects of total (sugar and non-sugar) carbohydrate 36 intakes on physical activity and energy balance too, therefore this study aims to 37 38 measure the behavioural and metabolic responses to manipulating the type and amount of dietary carbohydrate in humans. Sixty humans, age 18-65 years, with a 39 body mass index between 18.5-29.9 kg·m⁻² will be recruited for a randomised 40 controlled trial. Participants will be randomised to a control diet (MODSUG), a low-41 sugar diet in line with public health guidelines (LOWSUG), or a low-carbohydrate 42 ketogenic diet (LOWCHO) for 12-weeks. Self-reported dietary intake and objectively-43 measured physical activity will be monitored throughout the intervention. Participants 44 will undergo laboratory testing at baseline, week 4, and week 12 of the diet. This will 45 comprise muscle and adipose biopsies, a submaximal incremental treadmill protocol, 46 measures of body composition, resting metabolic rate, and a mixed-meal tolerance 47 test. Faecal samples will be obtained throughout the intervention to measure changes 48 to the gut microbiome. This research will provide evidence to inform public health 49 policy on the consumption of free sugars in healthy adults and will provide insight into 50 51 the physiological effects of a low-carbohydrate ketogenic diet.

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59 Introduction

Carbohydrates are a staple component of modern diets, which reportedly comprise 60 nearly 50% of energy intake in the United Kingdom (roughly 224 grams per day) 61 (Roberts et al., 2018). Dietary carbohydrates are commonly classified according to 62 their size and structure as mono- or disaccharides (1-2 monomers), oligosaccharides 63 (3-9 monomers), or polysaccharides (more than 9 monomers) (SACN, 2015). The 64 smallest chain carbohydrates (e.g. glucose, fructose, sucrose) are commonly referred 65 to as 'sugars', whereas polysaccharides are comprised of many molecules (e.g. 66 amylose, amylopectin). Some of the sugars in foods can be defined as 'free sugars', 67 which are 'all monosaccharides and disaccharides added to foods by the 68 manufacturer, cook or consumer, plus sugars naturally present in honey, syrups and 69 70 unsweetened fruit juices' (Swan, Powell, Knowles, Bush, & Levy, 2018). Free sugars by this definition reportedly comprise ~11% of energy intake in the United Kingdom 71 (57 grams per day) (Roberts et al., 2018), and intake across developed nations has 72 been reported to vary between 8% and 22% of energy intake (Wittekind & Walton, 73 74 2014). Both carbohydrates and free sugars are commonly ingested by many humans in developed countries, and therefore an understanding of the metabolic and energy 75 balance effects of dietary carbohydrates and sugars is relevant to a wide population. 76

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When referring to the intake of free sugars, this can often be considered as the coingestion of glucose and fructose (as sucrose or table sugar). Common sources of sucrose intake in Europe are soft drinks (sugar sweetened beverages), along with fruit juices, fruits, cakes and dairy products (Sluik, Engelen, & Feskens, 2015), therefore providing rationale for the specific targeting of sugar sweetened beverages with the Soft Drinks Industry Levy in the UK (Barber, 2017). Public health guidelines across the

world advocate that energy intake from free sugars should make up no more than 5% 84 of an individual's total energy intake (Erickson, Sadeghirad, Lytvyn, Slavin, & 85 Johnston, 2017; SACN, 2015; World Health Organisation, 2015). There are various 86 reasons these guidelines may be beneficial for public health. There is reasonably 87 strong evidence (despite a lack of randomised controlled trials) that dietary sugar 88 intake is associated with the prevalence of dental caries (Freeman, 2014; Moynihan & 89 Kelly, 2014). Data around the effects of free sugars on metabolic health and body 90 weight are less conclusive. Increasing dietary sugar intake causes an increase in 91 92 energy intake (SACN, 2015). However, increasing (or decreasing) dietary free sugars results in modest increases (or decreases) in body weight and isoenergetic exchange 93 of free sugars with other sources of carbohydrates does not result in weight change 94 (Morenga, Mallard, & Mann, 2013). Current evidence suggests that the increased 95 weight observed with increasing free sugar intake is predominantly due to an energy 96 surplus, via increasing energy intake. One rationale for reducing sugar intake, 97 therefore, is that this would create an energy deficit which would lead to weight loss 98 99 over time. However, an increase in energy intake without a clear change in body mass indicates that there must be interactions with other aspects of energy balance. Current 100 101 evidence does not consider the effect of any compensatory changes in energy expenditure. On the balance of current evidence, advice to reduce total sugar intake 102 seems sensible but a more complete understanding of the role of sugar intake on the 103 complex and intricate components of energy balance is warranted. 104

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106 In recent years, the notion that carbohydrates *per se* are detrimental to metabolic health has become prominent. Whilst the rate of absorption is dependent on the type 107 108 and matrix of carbohydrate, from a physiological perspective, ingestion of glucose as a monomer or a polymer can be considered physiologically similar stimuli because 109 hydrolysis of glucose polymers is not thought to be rate-limiting to intestinal absorption 110 ((Gonzalez, Fuchs, Betts, & van Loon, 2017), suggesting the overall carbohydrate 111 dose is an important consideration for general health outcomes. Furthermore, it is well-112 established that carbohydrate availability dictates the capacity to perform physical 113 114 work (Krogh & Lindhard, 1920), but the role of carbohydrate in regulating physical activity behaviours has only recently been considered. Participants randomised to 115 consume a carbohydrate-rich breakfast display an increase in 24-hour physical activity 116

energy expenditure (PAEE) compared with those randomised to remain fasted until 117 midday (Betts et al., 2014). The magnitude of this difference is greatest prior to midday, 118 near to when carbohydrate had been ingested and when glucose uptake to peripheral 119 tissue is increased (Betts et al., 2014). This points towards a stimulatory role of 120 carbohydrate or sugar on PAEE when carbohydrate is readily available to peripheral 121 tissue. The amount of carbohydrate present in skeletal muscle is largely dictated by 122 the amount of carbohydrate in the diet (Bergstrom, Hermansen, Hultman, & Saltin, 123 1967). As physical activity is performed by skeletal muscle, dietary carbohydrate 124 125 intake may be an important regulator of physical activity behaviour.

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Studies in which carbohydrate has been manipulated and physical activity has been 127 measured have not been sufficient in answering whether manipulating dietary 128 carbohydrate leads to a change in PAEE (Smith, Gonzalez, Thompson, & Betts, 2017). 129 Often self-report measures of physical activity are used, which are not sufficiently 130 sensitive to discern meaningful differences. Studies which have measured physical 131 activity objectively, i.e. using pedometers (Foraker et al., 2014) or accelerometers 132 (Layman et al., 2009; Tay et al., 2014), are confounded by a lack of information about 133 actual carbohydrate intake or concurrent prescription of exercise interventions. More 134 recent evidence using doubly-labelled water suggests that carbohydrate restriction 135 increases total energy expenditure during weight maintenance after weight loss 136 (Ebbeling et al., 2018), however this effect was influenced by the methods of analysis 137 (Hall & Guo, 2018). The authors originally planned to use pre-weight loss energy 138 139 expenditure as a baseline, but changed this to post-weight loss energy expenditure (Hall & Guo, 2018). The use of doubly-labelled water is inappropriate to measure total 140 energy expenditure when either the body water pool changes by >3% or the average 141 respiratory exchange ratio is unequal to the food quotient for the duration of the 142 measurement (Internation Atomic Energy Agency, 2009). Ebbeling et al. (2018) used 143 an assumed respiratory quotient based on the food quotient (i.e. macronutrient 144 composition) of each participant (Black, Prentice, & Coward, 1986), but this did not 145 incorporate changes which occur during weight loss. One study which investigated the 146 147 effects of carbohydrate availability per se on energy expenditure using doubly labelled water in 5 participants suggested that physical activity was reduced on a low 148 carbohydrate diet (7% carbohydrate, 83% fat, 10% protein) compared with a high 149

carbohydrate diet (83% carbohydrate, 7% fat, 10% protein) (Bandini, Schoeller, &
Dietz, 1994), which points towards a potentially stimulatory role of carbohydrates to
PAEE. To address these issues, studies using an objective method of measuring
PAEE, which allow for incorporation of changes in substrate oxidation, are required.

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Government targets to reduce sugar intake below 5% of total energy intake are not 155 aimed at overall carbohydrate intake per se. In the breakfast study mentioned, sugar 156 157 intake was significantly greater amongst individuals who ate breakfast compared with individuals who fasted until midday (Betts et al., 2014). As such, it is plausible that a 158 regulatory role of carbohydrate on PAEE may be due to the type of carbohydrate rather 159 than the absolute amount. Therefore, when assessing the potential role of 160 161 carbohydrates on physical activity, it is important to consider the potential role of both carbohydrate type and amount. Therefore, we designed a study to investigate the role 162 of carbohydrate type and amount on energy balance and physical activity, which 163 addresses some of the limitations of previous research by objectively measuring free-164 living PAEE, incorporating changes in substrate oxidation which occur with altering 165 carbohydrate availability. The purpose of this study is to measure the behavioural and 166 metabolic responses to manipulating the type and/or amount of dietary carbohydrate 167 in humans over a 12-week period. We approach this question from an integrated 168 physiological perspective. We are interested in energy balance, metabolism, 169 endocrinology, appetite, the gut microbiome, and food preference. The primary aim is 170 to investigate the effects of dietary carbohydrate manipulation on PAEE, using 171 combined accelerometry and heart rate monitoring, calibrated to each participant at 172 each time of measurement, based on substrate oxidation and resting metabolic rate. 173 174 This is the first study to objectively measure free-living energy expenditure incorporating changes in substrate oxidation in response to carbohydrate 175 manipulation. The intervention arms are relevant from a public health perspective, with 176 a direct investigation of the effects of implementing current public health guidelines on 177 sugar intake. This research will provide evidence to inform public health policy on the 178 consumption of free sugars in healthy adults, and will provide insight into the 179 180 physiological effects of a low-carbohydrate ketogenic diet.

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Methods 188

Study overview 189

Sixty metabolically healthy men and women, age between 18-65 years, will be 190 recruited to participate in a randomised controlled trial with three arms. All data 191 collection will be conducted in human physiology laboratories at the University of Bath. 192 Following free-living assessment of habitual diet and physical activity level, 193 participants will be randomised to adhere to one of three diets for a 12-week period in 194 which the dietary carbohydrate content and/or type is manipulated. The macronutrient 195 composition the intervention diets will aim for is as follows: 196

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198	MODSUG (CONTROL) – 50% CHO (20% SUG), 15% PRO, 35% FAT
199	LOWSUG – 50% CHO (<5% SUG), 15% PRO, 35% FAT
200	LOWCHO – <8% CHO * (<5% SUG), 15% PRO, ≥77% FAT
201	*or ≤50 g CHO per day

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A schematic of progression through the study is presented in Figure 1. Participants 203 will undergo three laboratory testing periods in total: at baseline, at week 4, and at 204 week 12 of the diet. Diet and physical activity will be monitored for 7 days prior to 205 randomisation, then at week 4 and week 12 of the intervention. Each laboratory test 206 will comprise two laboratory visits on consecutive days for measures to be taken. On 207 the first laboratory visit, participants will undergo muscle and adipose biopsies, 208

followed by a submaximal, incremental treadmill protocol to measure substrate 209 oxidation and calibrate the activity monitor. The following morning, participants will 210 return to the laboratory to undergo measures of body composition, resting metabolic 211 rate, and a mixed-meal tolerance test. Participants will be provided with education on 212 macronutrients and will be provided with guidance and feedback on which types of 213 foods will help them achieve the macronutrient composition of the prescribed diets. 214 Participants will also receive partial reimbursement of food expenses to help them 215 achieve desired dietary changes. Whilst macronutrient composition will be 216 217 manipulated, energy intake will not be prescribed.

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[INSERT FIGURE 1 HERE]

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221 Outcome measures

The primary outcome measure is physical activity energy expenditure (expressed as kJ per day).

Secondary outcome measures include time spent and energy expenditure at different 224 intensities, weight, steps, energy intake, macronutrient intake, body composition and 225 bone mineral density (using dual x-ray absorptiometry), waist:hip ratio, blood pressure, 226 substrate oxidation, resting metabolic rate, interstitial glucose concentrations, fasting 227 and postprandial metabolite and hormone concentrations, protein glycation, gene and 228 protein expression in muscle and adipose tissue, muscle glycogen concentrations, 229 230 urinary ketone concentrations, gut microbiome measures, urinary metabolomics, subjective appetite, and preference for food types. These are outlined in Figure 2 and 231 232 will be assessed subject to funda available.

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- [INSERT FIGURE 2 HERE]
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236 Participant characteristics and eligibility

237 The following are criteria for inclusion in the study:

Page 9		
 Body mass index 18.5-29.9 kg⋅m⁻² 		
Age 18-65 years		
 Able and willing to provide informed consent and safely comply with study procedures 		
• Females to maintain record of regular menstrual cycle phase/contraceptive use		
• No anticipated changes in physical activity during the first 4 weeks of the study		
(e.g. holidays or training programmes)		
The following are criteria for exclusion from the study:		
• Any reported condition or behaviour deemed either to pose undue personal risk		
to the participant or introduce bias		
 Any diagnosed metabolic disease (e.g. type 1 or type 2 diabetes) 		

- Any reported use of substances which may pose undue personal risk to the participants or introduce bias into the experiment
- Lifestyle not conforming to standard sleep-wake cycle (e.g. shift worker)
- Any reported recent (<6 months) change in body mass (± 3%) (Stevens et al., 2006)
- Use of antibiotic medication in the last 3 months
- Use of prebiotic or probiotic products in the last month

Recruitment and enrolment

Participants will be recruited from the University of Bath campus and the local community by word of mouth, by poster advertisement, and by social media. Participation will be centred on the provision of obtaining informed consent prior the study and throughout participation. Participants will be sent an information sheet after expressing interest in the study and will be asked to read thoroughly. Where possible, researchers will talk through this information sheet in person. Participants will be asked to take time to consider and ask questions about the study over the following week. Pre-enrolment, participants will undergo a consultation to affirm the information

provided in the information sheet and provide clarity on the procedures that the 268 participant will undergo as part of the study. Once this information has been provided 269 and consent has been clarified verbally, participants will be asked to initial and sign an 270 informed consent form. Following this, participants will undergo eligibility screening by 271 completing a health questionnaire. We will assess body mass index to make sure they 272 meet this criterion. They will be reminded that their consent is completely voluntary 273 and will be reminded of their right to withdraw from the study procedures at any point 274 without providing justification. 275

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278 **Preliminary testing**

Once participants are formally enrolled on the study, they will visit the laboratory to 279 begin their preliminary measures. Participants will be asked to fast for ~4-5 hours prior 280 to visiting the laboratory to minimise interference with respiratory measures (Compher, 281 Frankenfield, Keim, Roth-Yousey, & Evidence Analysis Working, 2006). Height will be 282 measured using a stadiometer (Seca Ltd., Birmingham, UK) in the Frankfurt plane with 283 284 shoes removed. Body mass and bioelectrical impedance will be measured using digital scales (Tanita, Amsterdam, The Netherlands) with participants barefoot and wearing 285 light clothing. Hip and waist circumference will be measured using a handheld tape 286 measure (Seca Ltd., Birmingham, UK). 287

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289 Resting metabolic rate will be measured using the Douglas bag technique. Participants will rest in bed in a recumbent supine position for ~10 minutes. When rested, the 290 participant will be given a mouthpiece and nose clip to wear, and three 5-minute 291 samples of expired air will be collected into Douglas bags. Researchers will enter the 292 room to change the Douglas bag at the end of each sample and note down ambient 293 conditions. The expired fraction of oxygen and carbon dioxide will be entered into 294 equations by Frayn and Jeukendrup & Wallis (Frayn, 1983; Jeukendrup & Wallis, 295 2005) to calculate resting metabolic rate (RMR) and substrate oxidation. The fractions 296 297 of inspired oxygen and carbon dioxide will be measured concurrently to correct for the dynamic ambient conditions (Betts & Thompson, 2012). Detailed methods for indirect 298

calorimetry are outlined below in the '*Indirect calorimetry*' section. Then participants
will be asked to complete a food preference task on a laptop. The details of which are
outlined in the '*Food preference task*' section.

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Participants will then complete a 20-minute treadmill walk to calibrate the physical activity monitor to their substrate oxidation and energy expenditure, based on a protocol adapted from Brage et al. (Brage et al., 2007). The walk will comprise four 5minute stages at 5.2 km·h⁻¹ with progressive inclines of 0%, 3%, 6% to 9% with participants wearing a heart rate monitor (Polar, Warwick, UK). During the last minute of each stage, heart rate will be recorded and expired breath will be collected into a Douglas bag to measure energy expenditure and substrate oxidation.

Following the treadmill walk, participants will receive an Actiheart monitor (CamNtech 310 Ltd., Cambridge, UK). This is a small chest-worn device which records heart rate, inter-311 beat-interval, and physical activity energy expenditure. Participants will be shown how 312 to wear apply the device. They will be asked to keep it on for a 7-day period. This will 313 provide a free-living measure of energy expenditure. Participants will be provided with 314 a food diary and a set of portable weighing scales. They will be asked to record all 315 food and drink they ingest for a 7-day period coinciding with the 7-days of wearing the 316 Actiheart monitor. This will be used to evaluate macronutrient composition and will 317 provide an estimate of energy intake. The 7 days of actiheart and food diary will be 318 repeated in the 7 days prior the 4-week and 12-week laboratory tests during the 319 intervention. Participants will also be provided with a pedometer (3DTriSport, Realalt, 320 321 UK) which will be worn throughout the week of habitual monitoring and the 12-week intervention. 322

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Once participants have completed their week of habitual lifestyle monitoring and laboratory testing has been arranged, they will be provided with a faecal collection kit and asked to collect a faecal sample within 24 hours of visiting the lab. The kit will contain 1 pair of disposable gloves, 1 "faeces catcher" paper, 1 collection pot, 1 plastic Ziploc bag, 1 icepack and 1 small opaque cool bag for discreet transportation, along with a detailed instruction sheet advising them how to collect the sample. Participants will be advised to place the collection pot inside the Ziploc bag, and place this inside the opaque cool bag to store in their fridge at 4 degrees, and then transport the sample
to the lab in the cool bag together with frozen icepacks. During the week-long
monitoring periods before each laboratory visit.

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Participants will be fitted with a research-grade continual glucose monitor (CGM) (Freestyle Libre, Abbott, UK) which will collect data for ~14 days. A member of the research team will fit the continual glucose monitor and this will remain attached to the participant until being removed by a member of the research team. A new CGM will be fitted at 10 weeks into the intervention to record data between week 10 and 12. In total, interstitial glucose will be measured for 7 days of habitual lifestyle, the first 7 days of the diet intervention, and the final 14 days of the diet intervention.

342 *Randomisation*

Participants will be randomised to one of three diet interventions by a member of the 343 research team who is not participant-facing. Allocation will be stratified on two levels: 344 by sex (male vs female), and mean physical activity level (PAL) across the habitual 345 monitoring period (<1.70 vs \geq 1.70). PAL is simple way of assessing an individual's 346 347 habitual physical activity, and is calculated by taking total energy expenditure and dividing by basal metabolic rate. A PAL ≥1.70 is associated with lower risk of many 348 metabolic diseases including type 2 diabetes and cardiovascular disease 349 (FAO/WHO/USU, 2001). Due to the nature of the intervention, dropouts are expected. 350 As such, we will be conducting a rolling recruitment to achieve statistical power 351 whereby dropouts will be replaced by the next recruited individual. A dropout will be 352 defined as an individual who does not wish to continue with the diet intervention within 353 the first 4 weeks (i.e. initial 4 weeks will be a completer's analysis). When the first 4 354 weeks have been completed, any deviations in adherence to diets will be considered 355 as part of the research question (i.e. intention to treat analysis). 356

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358 Laboratory tests

There are three laboratory tests across the study, one at baseline, one at week 4, and one at week 12. These require laboratory visits which will be split across two days. Day one will take place in the afternoon ~16:30 and day two will take place the

following morning ~08:00. On day one, participants will be asked to arrive at the 362 laboratory following a minimum 5-hour fast and they will be asked not to perform 363 structured exercise in this period. They will undergo an abdominal adipose tissue 364 biopsy. The abdominal region will be sterilised with iodine and local anaesthetic 365 (lidocaine hydrochloride) will be administered. After 5 minutes, when the area is numb, 366 a 14 G needle attached to a 50 mL syringe will be inserted into the subcutaneous 367 abdominal adipose tissue. A vacuum will be created using the syringe and adipocytes 368 aspirated into the syringe via the needle. Pressure will be applied to stop any bleeding 369 370 then the wound will be dressed. Treatment and analysis of samples is outlined in the section titled 'Adipose biopsy samples'. 371

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Participants will then be given time to rest before any other procedures are carried out. 373 When the participant is ready and willing, they will undergo a muscle biopsy from the 374 vastus lateralis. The participant's quadriceps will be sterilised with iodine before local 375 anaesthetic (lidocaine hydrochloride) is administered subcutaneously and on the 376 muscle fascia. When the area is numb, a small incision will be made to the skin and 377 muscle fascia using a sterile scalpel blade. Then a Bergstrom needle will be inserted 378 into the muscle belly and ~2-3 snips will be made with suction applied, as described 379 by Tarnopolsky et al. (Tarnopolsky, Pearce, Smith, & Lach, 2011). The cutaneous 380 incision will then be stitched up and pressure will be applied to the site. Muscle 381 sampling handling and analyses is outlined in the section titled 'Muscle biopsy 382 samples'. 383

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Following the muscle biopsy, participants will be allowed time to recover and rest. They 385 will then complete a graded exercise protocol on the treadmill. This will mirror the 386 Actiheart calibration protocol outlined in the '*Preliminary measures*' section, with four 387 5-minute stages where the speed of the treadmill will be fixed but the gradient will be 388 increased. Participants will be asked to walk at 5.2 km·h⁻¹ at 0%, 3%, 6%, and 9% 389 incline. During the last minute of each stage heart rate will be measured using a heart 390 rate monitor (Polar, Warwick, UK), substrate oxidation and energy expenditure will be 391 measured by collecting expired air in Douglas bags, and ratings of perceived exertion 392 (RPE) will be measured using Borg's 6-20 scale (Borg, 1970). Participants will be 393

asked to have a relaxing evening at home ready for the continuation of the laboratorytesting the following morning.

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397 On day two, participants will be asked to arrive to the laboratory at around 08:00, following an overnight fast of 10-12 hours. They will be asked to drink a pint of water 398 between waking and attending the laboratory and will be asked to refrain from 399 performing physical activity during their commute (i.e. take the bus or car, instead of 400 401 walking or cycling). Height will be measured using a stadiometer (Seca Ltd., Birmingham, UK), with participants barefoot in the Frankfurt plane. Body mass will be 402 403 measured using digital scales (Tanita, Amsterdam, The Netherlands) with participants barefoot and wearing light clothing. Hip and waist circumference will be measured 404 405 using a handheld tape measure (Seca Ltd., Birmingham, UK). Following this, participants will undergo a whole-body dual x-ray absorptiometry (DXA) scan to 406 assess body composition and bone mineral density. 407

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At ~08:45, participants will be escorted to the resting laboratory where they will rest in 409 410 a recumbent supine position for 15 minutes. When rested, the participant will be given a mouthpiece and nose clip to wear, and three 5-minute samples of expired air will be 411 collected into Douglas bags. Researchers will enter the room to change the Douglas 412 bag at the end of each sample and note down ambient conditions. The expired fraction 413 of oxygen and carbon dioxide will be entered into equations by Frayn and Jeukendrup 414 & Wallis (Frayn, 1983; Jeukendrup & Wallis, 2005) to calculate resting metabolic rate 415 (RMR) and substrate oxidation. The fractions of inspired oxygen and carbon dioxide 416 will be measured concurrently to correct for the dynamic ambient conditions (Betts & 417 Thompson, 2012). Detailed methods for indirect calorimetry are outlined in the 418 'Indirect calorimetry' section. 419

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Following this, a measure of blood pressure will be taken using an automated sphygmomanometer (Diagnostec EW3106, Panasonic, Japan). A cannula will then be inserted into a dorsal hand or antecubital vein and the hand of the corresponding arm will be placed in a box heated to 55°C to arterialise the blood (Edinburgh et al., 2017).

Two mL of blood will be drawn to make sure blood is flowing from the cannula and 425 then it will be flushed with sterile saline solution (B. Braun, Pennsylvania, USA) to 426 maintain patency. Whilst waiting for the vein to arterialise, the participant will complete 427 visual analogue scales (VAS) for appetite and mood; these are 100-mm scales which 428 are attached to a statement with opposing extremes (e.g. not at = 0, extremely = 100). 429 Following this, participants will complete a food preference task; details of which are 430 outlined in the section titled 'Food preference task'. Once this is complete, a baseline 431 blood sample will be collected. For all blood samples, 2 mL blood will be drawn and 432 433 disposed of, 10 mL blood will be collected for analysis, and then the cannula will be flushed with 5-10 mL of sterile saline solution (B. Braun, Pennsylvania, USA) to 434 maintain patency. All samples will be dispensed into 2 sterile collection tubes. One 435 containing ethylenediaminetetraacetic acid (EDTA) (Sarstedt, Nümbrecht, Germany) 436 which will be immediately centrifuged to extract blood plasma. The other containing 437 plastic beads (Sarstedt, Nümbrecht, Germany) which will be allowed to clot at room 438 temperature for 15 minutes before being centrifuged to extract blood serum. All 439 samples will be centrifuged at 4000 g for 10 minutes at 4°C. Blood serum and blood 440 plasma will be aliquoted into Eppendorf tubes (Eppendorf, Hamburg, Germany) and 441 442 stored on dry ice for the remainder of the visit, before being moved and stored at -80°C until analysis. 443

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Following the baseline blood sample, participants will be provided with a mixed meal 445 tolerance test (MMTT). Participants will ingest a milk chocolate milk shake (Ensure 446 447 Plus, Abbott, Illinois, US) equating to 30% of resting metabolic rate as determined by indirect calorimetry in the first laboratory test (e.g. 600 kcal for an individual with a 448 resting metabolic rate of 2000 kcal·day⁻¹). The composition of this drink is similar in 449 composition to the control diet and is reflective of typical food intake of European 450 populations; the contribution of macronutrients to total calories are 54% CHO (23% 451 SUG), 31% FAT, 15% PRO. A timer will be started when the participant ingests the 452 first sip of the drink and they will be asked to try and finish ingesting the MMTT within 453 5 minutes. Blood will be collected at 15, 30, 45, 60, 90, 120, 150, 180, 210, and 240 454 455 minutes following ingestion of the MMTT. VAS measures will be collected each hour minutes following ingestion of the MMTT. A 5-minute expired breath samples of will 456 be collected at each hour following ingestion of the MMTT to measure dietary induced 457

thermogenesis. Blood pressure will also be measured each hour. Participants will be 458 asked to remain in a semi-recumbent supine position for the duration of the 240 459 minutes, unless they need to use the toilet, and will be allowed to perform sedentary 460 tasks like watching television, reading, or working on a laptop. Participants will be 461 allowed to take their hand out of the heated box until 10 minutes prior each blood 462 sample to ensure the vein remains adequately arterialised. Towards the end of the 463 postprandial period, participants will be asked to complete a second food preference 464 task. Then, the cannula will be removed and pressure will be applied to prevent 465 466 bruising. Urine produced during the laboratory visit will be collected into a beaker for measurement of urea nitrogen excretion, relevant for indirect calorimetry measures. 467

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469 Indirect calorimetry

Energy expenditure and substrate utilisation will be determined using equations from
Frayn and Jeukendrup & Wallis (Frayn, 1983; Jeukendrup & Wallis, 2005), with
adjustments for the contribution of glycogen during low-intensity exercise:

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474	Fat oxidation at rest and during exercise (g·min ⁻¹) =
475	(1.695 x VO ₂) - (1.701 x VCO ₂) - (1.77 x urinary nitrogen excretion)
476	
477	Carbohydrate oxidation at rest (g·min ⁻¹) =
478	(4.55 x VCO ₂) - (3.21 x VO ₂) - (2.87 x urinary nitrogen excretion)
479	
480	Carbohydrate oxidation during exercise (g·min ⁻¹) =
481	(4.344 x VCO ₂) - (3.061 x VO ₂) - (0.40 x urinary nitrogen excretion)
482	

At rest, these equations assume that glucose provides all the carbohydrate for metabolism, whereas during low-intensity exercise carbohydrate metabolism is achieved by an equal contribution from glucose and glycogen. These equations will also be used with the assumption that the energy contents of fat, glucose, glycogen, and nitrogen are 9.75, 3.74, 4.15, and 4.09 respectively (Jeukendrup & Wallis, 2005).

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489 Food preference task

Participants will complete food preference tasks. These will comprise an 'alternative 490 491 forced choice task' and an 'ideal portion size task' (Wilkinson et al., 2012). The AFCT consists of 18 plates of food which are individually photographed on a white plate or 492 transparent bowl. The participant will choose which food they would 'choose to eat 493 right now'. Foods are distinguished into three categories: sweet high-carbohydrate 494 495 foods, non-sweet high-carbohydrate foods, non-sweet low-carbohydrate foods. The outcomes of this test will indicate preference for different types of foods corresponding 496 497 to the categories above. The ideal portion size task consists of 3 test foods: chocolate mousse, roasted potatoes, and vegetarian sausages (corresponding to the 3 498 499 categories above). These are photographed in portions ranging from 20-1000 kcal in increments. Participants can increase or decrease the amount of food they desire at 500 by being instructed to imagine they are offered this food right now, using the left and 501 right arrow keys to adjust the portion to show how much of it they would eat. 502

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504 Adipose biopsy samples

Samples will be cleaned using sterile saline solution (B. Braun, Pennsylvania, USA). Cleaned adipose tissue will be aliquoted, weighed, and snap frozen in liquid nitrogen before being stored at -80°C until further analyses. With the exact nature of the analyses being dependent on the size of the sample, these samples will be assessed primarily for gene expression (via real-time polymerase chain reaction) and protein expression (via Western blotting). The sample required to perform these analyses are ~50 and ~30 mg respectively.

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513 Muscle biopsy samples

514 Muscle tissue samples will be promptly snap-frozen in liquid nitrogen and stored on 515 dry ice until being transferred to -80°C awaiting analysis. Tissue will be prioritised for 516 glycogen analysis, which will be performed similarly to previous studies (Jansson, 517 1981; van Loon, Saris, Kruijshoop, & Wagenmakers, 2000). Muscle tissue will be freeze-dried before removal of non-muscle fibre material. Dried muscle tissue will be heated in hydrochloric acid to hydrolyse glycogen to glycosyl units, before being neutralised, and glucose concentration will be analysed. Leftover tissue will be used to analyse gene expression (via real-time polymerase chain reaction) and protein expression (via Western blotting), with the precise nature of the analyses to depend on tissue sample size.

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525 Faecal tissue handling and processing

Faecal samples provided by participants will be homogenised, aliquoted and stored 526 frozen at -80°C for later processing. Total DNA will be extracted using a commercial 527 kit (QIAGEN QIAamp® Fast DNA Stool Mini Kit) and faecal water will be extracted for 528 metabolic profiling. Extracted DNA will be analysed to determine taxonomic 529 composition and functional potential via 16S rRNA sequencing and shotgun 530 metagenomic sequencing, respectively. A range of bioinformatic and statistical tools 531 will be used to determine taxonomic and functional diversity of microbes present. No 532 analyses will be conducted on the human components of the sequenced DNA. Faecal 533 water will be prepared through centrifugation and both faecal water and faecal matter 534 will be later processed using 'omic' technologies (e.g. mass spectrometry and nuclear 535 magnetic resonance) to determine presence of metabolites. Analyses of faecal and 536 537 urine samples collected will be conducted in Teagasc laboratories (Moorepark, Cork, Ireland). On some occasions the analyses may be done in collaboration with a third 538 party including commercial companies. All samples and extracted DNA will be stored 539 540 at -80°C for future analysis.

541

542 Implementing the intervention and monitoring adherence

Adherence to the study diets is a key component of the study and is one of the major shortcomings of previous research into carbohydrate manipulation and energy balance (Smith et al., 2017). There will be a focus on developing and maintaining a friendly but professional relationship between the research team and participants – easing them into the study procedures and familiarising them with the laboratories. To further facilitate adherence, participants will be reimbursed up to a predetermined

amount of £18 per week for participants in the MODSUG or LOWSUG groups, and 549 £26 per week for participants in the LOWCHO group. This is due to the relative 550 difficulty in adhering to low carbohydrate diets and the relative expense of typically 551 suitable menus compared with the other groups. Members of the research team will 552 meet with participants weekly and will keep in touch with them via email to check how 553 they are finding the study. Participants will be asked to send a weekly measure of body 554 weight using scales they have been provided (Etekcity Digital Scales, California, USA) 555 and urinary ketone body concentrations in the fasted state (using Ketostix, Ascensia, 556 557 Newbury, UK). Participants will be asked to record 3-day food diaries during each week. These will be analysed and feedback returned to the participant as soon as 558 possible. Feedback about what they have done well in the previous week and where 559 they might be able to improve to meet their macronutrient targets will also be provided. 560 We will focus on specific food items and tailor any substitutions to habitual dietary 561 habits. 562

563

The absolute cut-off of 50 g of CHO in the LOWCHO diet is according to 564 recommendations from ketogenic diet proponents (Volek & Phinney, 2012). This will 565 comprise 8% of energy intake if an individual's daily energy intake is 2337.5 kcal, with 566 the assumption that 1 g of carbohydrate provides 3.74 kcal of energy. It is anticipated 567 that, in most individuals, 50 g of carbohydrate will equate to $\leq 8\%$ of energy intake. 568 However, in individuals consuming fewer kilocalories per day, the recommended 569 grams per day of carbohydrate will be decreased to equate to 8% of energy intake 570 (e.g. an individual consuming 1600 kcal per day will be asked to consume ~34 g of 571 carbohydrate per day). 572

573

We will attempt to check the accuracy of information from food diaries by rearranging the energy balance equation, using measures obtained from DXA throughout the study, as validated previously (Racette et al., 2012; Sanghvi, Redman, Martin, Ravussin, & Hall, 2015). It is worth noting that the energy expenditure values will be extrapolated from the 7 days of recording to reflect the time between measurements. The energy content of the tissues may also be influenced by the change in water content induced by the intervention, particularly in the ketogenic LOWCHO diet. 581 Hydration status has been shown to influence measures of non-fat mass by DXA with 582 little influence on fat mass measures (Toomey, McCormack, & Jakeman, 2017). For 583 this reason, we will interpret rearranged energy balance data in the context of these 584 limitations.

585

586 Diet analysis

There is no consensus on the exact caloric value of each macronutrient because fibre 587 ingestion, water ingestion, energy balance, dietary macronutrient composition, dietary 588 food variety, chewing during meals, meal timing/distribution, preparation/cooking of 589 food, alcohol intake, physical activity level, sex, age, disease status, and stress are all 590 thought to influence the metabolisable energy of foods (Sanchez-Pena et al., 2017). 591 Further complicating individual variability in the energy harvested from macronutrients 592 is the gut microbiome, particularly through the production of short-chain fatty acids 593 (Canfora, Jocken, & Blaak, 2015). Despite these limitations, metabolisable caloric 594 values for each macronutrient have been estimated in the literature, suggesting that 595 the caloric values of carbohydrate, fat, protein, and alcohol are around 3.74, 9.75, 596 4.09, and 7.10 kcal g⁻¹ (Jeukendrup & Wallis, 2005; Morgan & Levine, 1988). Whilst 597 these exact values may be slightly contended amongst academics who may prefer to 598 use values from older studies (Atwater, 1910; Frayn, 1983), they have been chosen 599 600 because the same values have been used for oxidation of macronutrients during 601 measures of energy expenditure. Diet analysis for preliminary measures, the 3-day food diaries for week 1-3 and week 5-11, and the 7-day food diaries from week 4 and 602 603 week 12 will be analysed using diet analysis software (Nutritics, Dublin, Ireland). Grams for each macronutrient will be exported and these will be multiplied by the 604 caloric factor mentioned. This will be used to provide energy in kilocalories and as a 605 percentage of total energy intake. Total energy intake will not be fed back to 606 607 participants, just macronutrient proportions as a percentage of total energy intake. One major limitation with current sugar guidelines is that they target 'free sugars', but there 608 609 is no legal requirement for manufacturers to include this information, the requirement is to provide information on total sugars. Therefore, as we are unable to definitively 610 determine free sugars in commercial products, we will use all sugars other than from 611 fruits and vegetables as a proxy for 'free sugars'. We will report the following in our 612

results: carbohydrate, fat, protein, alcohol, total sugars, sugars from fruit and vegetable sources, all other sugars. This means our intervention will target total sugars minus fruit and vegetable sugars, as it is impossible to measure the intake of free sugars *per se*.

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618 Laboratory test design

It is worth clarifying the rationale behind the test design. Obtaining muscle and adipose 619 biopsies in the fasted and rested state is preferable, but obtaining biopsies 620 immediately prior to the mixed meal tolerance test would risk these procedures 621 influencing metabolic measures. The treadmill walk should also be performed in the 622 fasted state, but there should be sufficient washout between the treadmill walk and the 623 mixed meal tolerance test. Furthermore, it is inappropriate to perform the treadmill 624 walk immediately before biopsies due to the potential effects this would have on gene 625 and protein expression. Therefore, it was decided to perform the treadmill walk 626 following the biopsy procedures, allowing for a rest period between the procedures 627 and the walk. This enables calibration of the Actiheart monitor to each participant's 628 individual physiology at each visit, which allows for fluctuations in substrate oxidation 629 and resting metabolic rate across the course of the intervention. The treadmill walk 630 acts to standardise physical activity levels the evening before the meal test, which 631 632 reduces the chance of seeing metabolic effects which are caused solely by the previous evening's activity. Participants will attend the lab the morning after the biopsy 633 and treadmill walk in an overnight fasted state having eaten dinner a of their choice at 634 home. This allows assessment of body composition and the mixed meal tolerance test 635 to be performed prior to lunchtime of the second laboratory testing day. 636

637

638 Statistical analyses and power calculation

A required sample size for the present study was estimated based on the Bath Breakfast Project (Betts et al., 2014) using G*Power 3.1 software (Faul, Erdfelder, Lang, & Buchner, 2007). The mean \pm standard deviation PAEE for the fasting *vs* breakfast groups during the morning (when differences in carbohydrate availability between groups were present) were 311 \pm 124 kcal vs 492 \pm 227 kcal. Based on this

effect size, a between-subject design with 20 participants in each group would provide 644 an >85% chance (power) of detecting the expected effect with an α -level of 0.05. 645 Therefore, 60 participants will be recruited. As dropout with diet interventions is 646 expected to occur, a rolling recruitment model will be employed whereby dropouts are 647 replaced to achieve a complete dataset. The sample size estimations have been 648 based on the primary outcome of PAEE which, as the primary behavioural dependent 649 variable, also displays the most variance and thus the metabolic variables may require 650 fewer participants to achieve a similar power, given greater precision of measurement. 651

652

Descriptive statistics will be calculated on Microsoft Excel (Microsoft, Washington, USA). Two-way ANOVAs will be used to identify significant interactions between conditions and time across the study. Post hoc adjustments will be employed to determine the nature of these differences using GraphPad Prism (GraphPad Software Inc., California, USA). Both p-value hypothesis testing and inference-based statistics will be utilised. Where p-value hypothesis testing is used, significance will be accepted at p = 0.05.

660

661 UPDATED STATISTICAL ANALYSIS PLAN

For parallel group RCTs it is more efficient to use ANCOVA with baseline values as
the covariate to estimate the causal effects of an intervention (i.e., estimated

664 differences between interventions vs. control, see

https://doi.org/10.3945/ajcn.115.119768). Therefore, the statistical analysis plan was 665 adjusted accordingly in line with the following description. SPSS v25 (IBM, USA) 666 were used for statistical analyses. Total (tAUC) and incremental area under the 667 curve (iAUC) were calculated using the Time Series Response Analyser. Figures 668 669 were drawn using Prism v9.5.0 (GraphPad Software Inc., USA). For all outcomes with quantitative units at week 4 and week 12, ANCOVA was used to assess 670 differences between groups with baseline values as the covariate. Unadjusted 671 means are presented for baseline outcomes, but ANCOVA-adjusted means (and 672 mean differences vs MODSUG) are reported for week 4 and week 12 unless 673 otherwise stated. Since skeletal muscle protein and adipose mRNA levels were 674 675 expressed as the fold-change from baseline, one-way ANOVAs were used at week 4 and week 12 respectively to detect differences between groups. Post-hoc comparisons were made according to the principle of closed testing to assess the effect of sugar restriction (LOWSUG vs MODSUG) or ketogenic carbohydrate restriction (LOWCHO vs MODSUG). Data from Visual Analogue Scales were assessed by repeated-measures ANOVA of within-group comparisons due to their subjective nature. Figure legends state whether means or ANCOVA-adjusted means are presented for each outcome. Simple linear regression and Pearson correlation coefficients were used to assess linear associations between outcomes where appropriate. Significance was accepted at $p \le 0.05$. Data are presented as mean and 95% confidence intervals (CI) unless otherwise stated.

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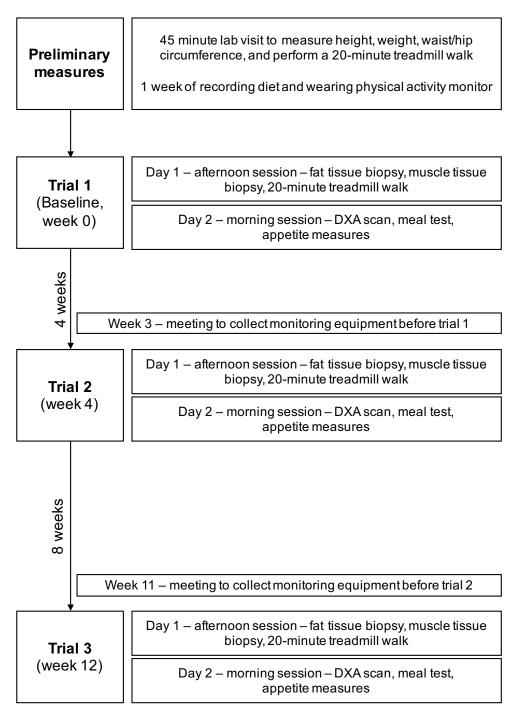
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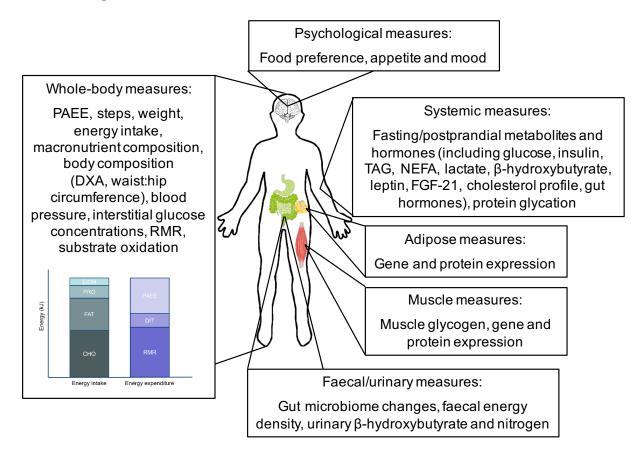
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874 Figures

Figure 1. Schematic of trial progression. Participants will complete preliminary measures and then be randomised to one of three trial arms. Laboratory visits will comprise three trials at baseline, week 4, and week 12 of the intervention. They will be split across two days, day one in the afternoon and day two the following morning. Physical activity and diet will be measured for 7 days during week 4 and during week 12. DXA = dual x-ray absorptiometry.



- **Figure 2. Schematic of outcome measures in the study. PAEE = physical activity**
- 883 energy expenditure, DXA = dual x-ray absorptiometry, RMR = resting metabolic
- rate, TAG = triacylglyceride, NEFA = non-esterified fatty acids, FGF-21 =
- 885 **fibroblast growth factor 21**.



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